



## Gun Lake Tribal Gaming Commission Vendor License Application Form

### License Application Instructions

1. Submit the completed application and all additional required documents listed below.
2. All principals, service technicians and/or other individual of the firm that will be on the premise of the Gun Lake Casino must also fill out an Individual Gaming Application and submit it with their Vendor Application. If these individuals are located outside of the United States then they must provide a criminal and credit history with their individual gaming application submission.
3. Submit the initial vendor licensing fee of \$2,500 (gaming vendor) or \$1,000 (non-gaming vendor). Payment can be made by money order, cashier's check, or company check only and made payable to the Gun Lake Tribal Gaming Commission.
4. Type or print legibly, in black ink, all information requested on the application. If a question does not apply, indicate "N/A" (Not Applicable).
5. Applications that are incomplete or that are missing required documents will not be accepted.
6. Provide additional documents as requested below.
7. All Notary Forms included in the application must be signed in the presence of a notary public.

This Gaming License Application is an official document of the Gun Lake Tribal Gaming Commission. Any misrepresentation or failure to disclose information requested may be grounds for denial, suspension, or revocation of a license. Applicant may be asked to provide additional information as determined by the Gun Lake Tribal Gaming Commission.

It is important not to misstate any response or omit any material fact, as each statement made in this application is subject to verification. Any corrections, changes, or other alterations must be initialed and dated by the applicant. Any additional pages must be initialed by the applicant in the lower right hand corner.

Please type or print legibly in black ink a complete response to each question on this application. If additional space is needed, use a separate page; indicate the corresponding question number for each response. Applications that are not complete may be returned for additional information.

**Use of Information:** The information requested in this application will be used to determine the eligibility of the business for a vendor license. The information will be used by the Gun Lake Tribal Gaming Commission and staff who have need for the information in the performance of their official duties. The information may be disclosed to appropriate federal, tribal, state, local, or foreign law enforcement and regulatory agencies when relevant to civil, criminal, or regulatory investigations or prosecutions, or when required by the Tribe or the National Indian Gaming Commission in connection with the issuance or revocation of a vendor license, or investigation of activities while associated with a Tribe or a gaming operation. Failure to consent to the disclosure indicated in this notice may result in the Gun Lake Tribal Gaming Commission being unable to issue a license.

**PRIVACY NOTICE:** In compliance with the Privacy Act of 1974, the following information is provided: Solicitation of the information on this form [your application for a gaming license and any renewal thereof] is authorized by 25 U.S.C. 2701 et. seq. The purpose of the requested information is to determine the eligibility of individuals to be granted a gaming license. The information will be used by the Tribal Gaming Commission and by the National Indian Gaming Commission members and staff who have need for the information in the performance of their official duties. The information may be disclosed to the appropriate federal, tribal, state, local, or foreign law enforcement and regulatory agencies when relevant to civil, criminal, or regulatory investigations or prosecutions, or when pursuant to a requirement by a tribe or the National Indian Gaming Commission in connection with the issuance, denial, or revocation of a gaming license, or investigations of activities while associated with a tribe or a gaming operation. Failure to consent to the disclosures indicated in this notice will result in the Tribe being unable to license you for a primary management official or key employee position, or other position as determined by the Tribe. The disclosure of your Social Security Number (SSN) is voluntary. However, failure to supply a SSN may result in errors in processing your application.

**NOTICE REGARDING FALSE STATEMENTS:** A false statement on any part of your license application may be grounds for denying a license or for the suspension or revocation of a license. Also, you may be punished by fine or imprisonment (U.S.C., title 18, Section 1001).

**ADDITIONAL PAGES:** If any section of this form is not of sufficient space, please attach addition pages.

Section 1 – Vendor Information		
Business Name		Date of Application
Current Address		
Name of any other “Doing Business As” (DBA) or trade name		
Date Business Started		Business web site URL
Federal Tax ID Number or SSN (if Sole Proprietorship)	Telephone Number	Fax Number
Nature of service provided <input type="checkbox"/> Gaming <input type="checkbox"/> Management <input type="checkbox"/> Financing <input type="checkbox"/> Transportation <input type="checkbox"/> Manufacturer/Distributor <input type="checkbox"/> Information Services/Software Support <input type="checkbox"/> Professional Services <input type="checkbox"/> Food and Beverage <input type="checkbox"/> Other (specify)		
Describe the type of product(s) and/or service(s) provided		

Section 2 – Contact Person: Please provide the following information for the contact person associated with the applicant. This person may be contacted by the Gun Lake Tribal Gaming Commission regarding the contents of this application and with any future licensing issues.		
Contact Name	Title	
Address		
Email Address	Telephone Number	Fax Number

Section 3 – Business Organization	
State(s) of Incorporation/Registration	Date Incorporated/Registered
	Date of Qualification to Conduct Business in Michigan





### Section 8 – Bankruptcy History

Has the applicant ever filed, or had filed against it, an action for bankruptcy? **If yes, include a detailed description of each action as an attachment which includes the court, case number, docket sheet, and any final order or judgment.**

Yes

No

### Section 9 – Regulatory Sanctions

Has the applicant or any subsidiary, intermediary, parent, or holding company of the applicant been disciplined by a regulatory or administrative agency? Has the applicant ever had a license, certification, registration or other regulatory or administrative approval denied, suspended or revoked? **If yes, include a detailed description of each action as an attachment which includes the agency, case number, and any final order issued.**

Yes

No

### Section 10 – Business Relationships with Tribes: Please provide the following information for each current and past business relationship maintained with a Native American tribe, or any ownership, financial, or management interest in any tribal business. Include both gaming and non-gaming relationships.

Name of Tribe	Address	Nature of Relationship	Dates of Relationship

### Section 11 – Business References: Please provide the following information for three (3) business references with which the applicant has maintained a continuous business relationship for at least five (5) years.

Company Name		Address	
Contact Name	Telephone Number	Length of relationship	
Brief description of business relationship			
Company Name		Address	
Contact Name	Telephone Number	Length of relationship	
Brief description of business relationship			

**Section 11 – Business References:** (Continued)

Company Name	Address		
Contact Name	Telephone Number	Length of relationship	
Brief description of business relationship			

**Required Attachments:** Please include each of the items below as attachments to this Application.

Company Organizational Documents – Articles of Incorporation, By-Laws, Charter, Partnership Agreement, etc.	<input type="checkbox"/> Included <input type="checkbox"/> N/A
Organization Chart, including job titles or a brief description of job duties.	<input type="checkbox"/> Included <input type="checkbox"/> N/A
Ownership chart listing each subsidiary, parent, affiliate, and holding company related to the applicant.	<input type="checkbox"/> Included <input type="checkbox"/> N/A
Tax Returns for the past three (3) years.	<input type="checkbox"/> Included <input type="checkbox"/> N/A
Financial Statements for the past three (3) years.	<input type="checkbox"/> Included <input type="checkbox"/> N/A
Annual Reports for the past three (3) years	<input type="checkbox"/> Included <input type="checkbox"/> N/A
Summary of each gaming license disciplinary action disclosed in Section 4 above.	<input type="checkbox"/> Included <input type="checkbox"/> N/A
A copy of each gaming license listed in Section 5 above.  Please note that those applicants seeking licensure as a Gaming Vendor must provide proof of licensure by at least two of the following gaming regulatory agencies: Nevada Gaming Control Board, New Jersey Casino Commission, and/or the Michigan Gaming Control Board. If these are not applicable, the applicant must provide proof of licensure in at least two Class III gaming jurisdictions.	<input type="checkbox"/> Included <input type="checkbox"/> N/A
Criminal history summary for each criminal case disclosed in Section 6 above.	<input type="checkbox"/> Included <input type="checkbox"/> N/A
Civil litigation history summary for each civil action disclosed in Section 7 above.	<input type="checkbox"/> Included <input type="checkbox"/> N/A
Bankruptcy history summary for each bankruptcy action disclosed in Section 8 above.	<input type="checkbox"/> Included <input type="checkbox"/> N/A
Regulatory action history for each regulatory or administrative action disclosed in Section 9 above.	<input type="checkbox"/> Included <input type="checkbox"/> N/A
Initial licensing fee \$2,500 (Gaming Vendors) or \$1000 (Non-Gaming Vendors)	<input type="checkbox"/> Included <input type="checkbox"/> N/A

The Gun Lake Tribal Gaming Commission reserves the right to request further information or documents, should the Commission determine in its sole discretion that circumstances warrant. This may include but is not limited to:

- Information concerning any change in the officers, directors, partners, investors, or principals of the business who would be required to provide information in this application.
- A requirement that all individuals persons listed in this application complete and file an individual gaming application with the Tribal Gaming Commission.
- A requirement that the business reimburse the additional costs of the background investigation of the business, including any background investigation of those persons required to provide information in this application.

I certify that I am a duly authorized owner or representative of the applicant business with knowledge and authority to provide the above information and to act on behalf of this business entity, and that to the best of my knowledge and belief the foregoing information is true, accurate, and complete as of the date of this application. I understand that any incomplete, untruthful or misleading answers are cause for denial of the application or for the suspension or revocation of any license previously granted. I further understand that this business has a continuing duty to provide all materials, assistance, or information required by the Gun Lake Tribal Gaming Commission, including any information that may be requested from business owners, shareholders, directors, officers, managers, or other key personnel. I understand that this business is required to fully cooperate in any investigation conducted by or on behalf of the Gun Lake Tribal Gaming Commission. If any information provided on this application changes or otherwise becomes inaccurate, or new information becomes available, I agree to promptly notify the Gun Lake Tribal Gaming Commission, with or without a formal request for such information.

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Printed Name

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Signature/Date

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Title

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Business Name



### Release of All Claims (Business)

I, \_\_\_\_\_ the undersigned, as the authorized owner or representative acting on behalf of the business listed below ("Applicant"), am applying to the Gun Lake Tribal Gaming Commission for a vendor gaming license. In consideration of the assurance by the Gun Lake Tribal Gaming Commission that no action on will be taken except after a complete investigation, I hereby for myself, the business entity, and its successors and assigns, release, remise, and discharge the Tribe, the Gun Lake Tribal Gaming Commission, and their respective members, agents, officers and employees, from any and all causes of action, suits, debts, judgments, executions, claims and demands, known or unknown, in law or equity, which this business had, now has, or may claim to have against the Tribe, the Tribal Gaming Agency or their agents or employees, arising out of or by reason of the processing or investigation of or other action relating to this gaming license application.

I as an owner or authorized representative acting on behalf of Applicant, have read this release and understand all of its terms and execute it voluntarily and with full knowledge of its significance.

In witness whereof, I have executed this release:

Location: \_\_\_\_\_

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

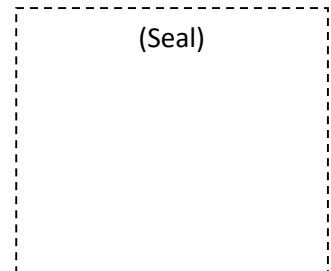
Print Name: \_\_\_\_\_ Title: \_\_\_\_\_

State of: \_\_\_\_\_ County of: \_\_\_\_\_ on \_\_\_\_\_ (Date) before me, \_\_\_\_\_ (Notary Public)

personally appeared \_\_\_\_\_ (Name of Signer) who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument, the person executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of \_\_\_\_\_ that the foregoing paragraph is true and correct.  
WITNESS my hand and official seal.

\_\_\_\_\_  
(Signature of Notary)







### Release of Information Authorization

I, \_\_\_\_\_ as the authorized owner or representative acting on behalf of the business listed below, authorize any investigator, agent, or other representative of the federal government, the Gun Lake Tribal Gaming Commission, or any other tribal, state, or local law enforcement or investigatory agency, in order to determine the suitability of this business for involvement in Indian Gaming activities, to obtain any information regarding the business, or regarding any principal of the business.

I authorize any custodian of such records and sources of information permit the review and copying of any and all documents, records, or correspondence pertaining to the business, or to any principal of the business, upon request of the representative of the Gun Lake Tribal Gaming Commission, regardless of any previous agreement to the contrary.

I hereby agree to release, defend, indemnity and hold harmless any person or entity to whom this request is presented and their agents and employees from and against all manner of action, claims, damages, losses and expenses, including reasonable attorney fees, arising out of or by reason of complying with this request.

I understand and agree to accept any risk of adverse public notice, embarrassment, criticism, or financial loss that may result from disclosure or use of information that is obtained in connection with a background investigation for any purpose listed in this document. I understand that the information and records sought will be used for a background investigation required to process the license application of this business and the principals of this business for the purpose of providing goods, services or financing in conjunction with Tribal gaming activities, operations, or regulation.

Copies of this authorization are as valid as the original, provided that the signature is visible.

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Print Name: \_\_\_\_\_ Title: \_\_\_\_\_

State of: \_\_\_\_\_ County of: \_\_\_\_\_ on \_\_\_\_\_ (Date) before me, \_\_\_\_\_ (Notary Public)

personally appeared \_\_\_\_\_ (Name of Signer) who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument, the person executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of \_\_\_\_\_ that the foregoing paragraph is true and correct. WITNESS my hand and official seal.

\_\_\_\_\_  
(Signature of Notary)

